SEC Form 4 FORM 4 UN		res	SECURITI	ES A		ЕХСНА	NGE	COMMISS	SION				
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMI Washington, D.C. 20549													
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	l purs	OF CHANG suant to Section 16(Section 30(h) of the	a) of th	Es Es	OMB Number: 3235-0287 Estimated average burden hours per response: 0.5								
1. Name and Address of Reporting Person* GARCIA PETER S (Last) (First) (Midd C/O ALX ONCOLOGY HOLDINGS IN 866 MALCOLM ROAD, SUITE 100	AI AL 3. D	ssuer Name and Tic LX ONCOLC .XO] Date of Earliest Tran (16/2021	OGY :	HOI	<u>DINGS I</u>		telationship of Reporting Person(s) to Issuer eck all applicable) Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer						
(Street) BURLINGAME CA 94010			f Amendment, Date	of Orig	inal Fi	iled (Month/Da	6. Indiv Line) X	,					
(City) (State) (Zip)													
Table I	- Non-Deriva	ative	e Securities A	cquire	ed, C	isposed o	of, or B	eneficially	Owned				
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Ye		Execution Date,		action (Instr.	4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Followin Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(
Common Stock	09/16/202	21		М		1,426	A	\$4.08	10,757	D			
Common Stock	09/16/202	21		S ⁽¹⁾		1,426	D	\$80.4312(2)	9,331	D			
Common Stock	09/17/2021		21			11,345	A	\$4.08	20,676	D			
Common Stock	09/17/202	21		S ⁽¹⁾		1,027	D	\$77.8427 ⁽³⁾	19,649	D			
Common Stock	09/17/2021			S ⁽¹⁾		7,199	D	\$78.6112 ⁽⁴⁾	12,450	D			

S⁽¹⁾

S⁽¹⁾

S⁽¹⁾

5. Number

Derivative Securities

Acquired (A) or Disposed

of (D) (Instr 3, 4 and 5)

(D)

1.426

11,345

(A)

of

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Date

Exercisable

(7)

(7)

6. Date Exercisable and

Expiration Date (Month/Day/Year)

D

D

D

\$79,4584(5)

\$80.224(6)

\$81.19

Amount Number

Shares

1.426

11,345

of

7. Title and Amount

of Securities Underlying Derivative Security

(Instr. 3 and 4)

Title

Common

Stock

Common

Stock

1,746

273

1,100

Expiration

03/09/2030

03/09/2030

Date

10,704

10,431

9,331

9. Number of

Securities Beneficially

Owned Following Reported

Transaction(s) (Instr. 4)

79.042

67,697

derivative

8. Price of

Derivative

Security (Instr. 5)

\$0.00

\$0.00

D

D

D

10.

Ownership

Form: Direct (D)

or Indirect (I) (Instr. 4)

D

D

11. Nature

of Indirect Beneficial

Ownership

(Instr. 4)

Employee Stock Option (right to buy)	\$4.08							(8)	03/09/2030	Common Stock	95,659		95,659	D	
Explanation of Responses:															
1. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on July 14, 2021.															
2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$80.00 to \$80.88, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges see forth in footnotes (2) through (6) to this Form 4.															
3. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$77.05 to \$78.045, inclusive.															

09/17/2021

09/17/2021

09/17/2021

4. Transaction Code (Instr. 8)

ν

Code

Μ

М

3A. Deemed

Execution Date

if any (Month/Day/Year)

3. Transaction

(Month/Day/Year)

09/16/2021

09/17/2021

Date

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$78.05 to \$79.045, inclusive.

5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$79.05 to \$80.02, inclusive.

6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$80.05 to \$80.51, inclusive.

7. The option is subject to an early exercise provision and is immediately exercisable. One-fourth of the shares subject to the option vest on March 9, 2021 and 1/36th of the remaining shares vest monthly thereafter

8. The option is subject to an early exercise provision and is immediately exercisable. One-fourth of the shares subject to the option vested on January 2, 2021 and 1/36th of the remaining shares vest monthly thereafter.

Remarks:

Common Stock

Common Stock

Common Stock

2

Conversion

or Exercise Price of

Derivative Security

\$4.08

\$4.08

1. Title of

Derivative Security (Instr. 3)

Employee Stock

Option

(right to buy) Employee Stock Option

(right to buy)

<u>/s/ Peter Garcia</u> ** Signature of Reporting Person <u>09/17/2021</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.