Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL									
OMB Number: 3235-0287									
Estimated average burden									
hours per response.	0.5								

or Section 30(h) of the Investment Company Act of 1940																				
Name and Address of Reporting Person*     Caffo Nathan					<u>A</u>	2. Issuer Name and Ticker or Trading Symbol ALX ONCOLOGY HOLDINGS INC ALXO								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  X Officer (give title Other (specify					wner	
(Last) (First) (Middle) C/O ALX ONCOLOGY HOLDINGS INC. 866 MALCOLM ROAD, SUITE 100					07	3. Date of Earliest Transaction (Month/Day/Year) 07/21/2020								below) below)  Chief Business Officer						
(Street) BURLING (City)	GAME C.		94010 Zip)		_   4. l	4. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Line)  X Form filed by One Report Form filed by More than Operson										orting Perso	on			
		Tab	le I - Nor	n-Deriv	vativ	e Se	curit	ies Ac	quire	d, Dis	sposed o	f, or Be	nefic	ially	/ Owned					
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)					Execution D		on Date,	n Date, Tran							Form (D) or		Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Cod	e v	Amount	(A) o (D)	r Pric	e	Transactio				()	
Common Stock 07/21/2					1/2020				С		10,529	9 A	(	(1)	11,254 <sup>(2)</sup>			1 1	See footnote <sup>(3)</sup>	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
Derivative Security Conversion Date Execution Date, (Month/Day/Year) if any					Transaction Code (Instr.		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Am of Securities Underlying Derivative Sect (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exerci	isable	Expiration Date	Title	Amor or Numl of Share	ber						

## **Explanation of Responses:**

1. Each share of Series B Convertible Preferred Stock automatically converted into Common Stock on a 1:1 basis immediately prior to the completion of the Issuer's initial public offering of Common Stock and

10,529

- 2. Includes 725 shares of Common Stock issued as payment of accrued dividends upon conversion of the preferred stock on July 21, 2020.
- 3. The shares are held of record by the reporting person's spouse.

07/21/2020

## Remarks:

Series B Convertible

Preferred Stock

> /s/ Peter Garcia, by power of 07/21/2020 attorney

Common

10,529

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.